

ANTITRUST COMPLIANCE POLICY AND CHECKLIST

The following summary and checklist outlines several basic antitrust principles that AI-RAN Alliance's members, directors and staff should bear in mind in connection with AI-RAN Alliance-sponsored meetings, activities and social gatherings. This Checklist is not intended as a complete list of antitrust guidelines. Additionally, we strongly encourage you to forward all specific questions relating to antitrust compliance not addressed in this document to the legal counsel who has responsibility for and expertise in considering the antitrust implications of your business activities.

Meetings and other activities of AI-RAN Alliance necessarily involve participation by industry competitors, and it is the express policy of AI-RAN Alliance to require that all of its activities be conducted strictly in accordance with applicable antitrust laws. It is therefore extremely important that AI-RAN Alliance Members that may be invited to participate in AI-RAN Alliance activities be aware of and not participate in any activities that are prohibited under the antitrust or competition laws of any applicable jurisdiction. This Policy will apply in any location (real or virtual) where an Alliance meeting may take place.

The following is a non-exclusive conduct checklist to assist in compliance with this Policy:

1. Always conduct relations with competitors as if they were in the public view and avoid even the appearance of impropriety.
2. Do not discuss, exchange information regarding, or agree upon (even verbally, informally or impliedly) any of the following, with any actual or potential competitor, while at any AI-RAN Alliance meeting, AI-RAN Alliance social gathering, or otherwise:
 - a. Individual company or industry pricing information or policies, including without limitation, actual or anticipated prices, price changes, price differentials, price formulas, mark-ups, discounts, warranties, allowances, credit terms, costs, sales, profits, margins, or the like.
 - b. Individual company market shares for any product or for all products.
 - c. Individual company bids or intentions to bid for particular products, procedures for responding to bid invitations or specific contractual arrangements.
 - d. Individual company current or projected costs of product procurement, development or manufacture.
 - e. Individual company product design, characteristics, production, capacity, supply or distribution.
 - f. Individual company marketing plans, strategies and market division, including without limitation, plans regarding geographic territories, demographic groups, individual customers to be targeted or ignored, or planned introduction dates of particular products, technologies, or services.

- g. Changes in industry production, capacity or inventories.
 - h. Decreasing or eliminating competition by any means, including without limitation, engaging in boycotts, “cornering” the market, excluding any person or entity from a given market or from competition, influencing the business conduct of other firms toward any third person or entity (including actual and potential suppliers, resellers or customers), or encouraging or forcing others to modify business relationship with third parties.
 - i. Using any activity of the Alliance to exclude or reject submissions for any reason other than cost, performance, or technical considerations.
 - j. Allocating customers, markets, production levels, or territories; or restricting customers to whom, or territories in which, a company may sell or resell products.
 - k. Any matter that restricts a company’s independence in setting pricing, establishing production and sales levels, choosing the markets in which it operates, or the manner in which it selects its customers and suppliers.
 - l. Any wage-fixing and no-poaching matters between companies, including any activity that could be deemed as an agreement to fix wage or benefit levels with another employer or any no-poaching activity, such as the exchange of wage and benefit information with other employers.
3. Consider providing your counsel with a copy of all meeting materials, including meeting agendas, a description of substantive comments that you plan to make (if available) and materials to be distributed and before meetings take place for review. If requested, provide such materials to AI-RAN Alliance for review by its legal counsel.
 4. If you are part of a meeting or discussion that appears to run afoul of these principles, point that fact out to those in attendance and ask that the attendees end such conversation. If this does not happen, excuse yourself and immediately bring the situation to the attention of an AI-RAN Alliance staff person.
 5. If you are in charge of an AI-RAN Alliance meeting, bring a copy of this checklist with you and, if necessary, distribute it to all those in attendance and ask that they review it.
 6. The AI_RAN Alliance will prepare a meeting agenda in advance of each meeting. The AI_RAN Alliance will promptly prepare and distribute meeting minutes following any AI_RAN Alliance meeting so that its legal counsel and the other members present at the meeting may provide comments and ensure that the minutes are accurate and complete. The AI_RAN Alliance will circulate a final version of meeting minutes to meeting participants.
 7. Participation in AI-RAN Alliance is voluntary and no members are required to implement any techniques or technologies in work product released by AI-RAN Alliance.